

# Report to Audit Committee

Wednesday 7 December 2022

By the Director of Resources

## INFORMATION REPORT



Horsham  
District  
Council

Not Exempt

### Risk Management ~ Quarterly Report

#### Executive Summary

This report includes an update on the Corporate Risk Register for consideration and provides an update on progress with the quarterly departmental risk register reviews.

#### Recommendations

That the Committee is recommended to:

- i) Note the contents of this report.

#### Reasons for Recommendations

As part of good governance, it is important that Members understand the key risks facing the Council.

#### Background Papers

Corporate Risk Register

**Wards affected:** All.

**Contact:** Dominic Bradley, Director of Resources, 01403 215300.

## Background Information

### 1 Introduction and Background

- 1.1 The Audit Committee is charged with responsibility for monitoring the effectiveness of the Council's risk management arrangements.
- 1.2 The report provides details of key changes to the Council's Corporate Risk Register, and an update on progress regarding the departmental risk registers (see Section 3 below).

### 2 Relevant Council Policy

2.1 The Council's Risk Management Policy is detailed in the Council's Risk Management Toolkit. The Council's Risk Management Strategy is a component part of the Policy, and this document sets out to achieve the following objectives:

- Fully integrate risk management into the culture of the Council and its strategic and service planning processes.
- Ensure that the risk management framework is understood and that ownership and accountability for managing risks is clearly assigned.
- Ensure the benefits of risk management are realised through maximising opportunities and minimising threats.
- Ensure consistency throughout the Council in the management of risk.

### 3 Details

- 3.1 The Senior Leadership Team has reviewed the Corporate Risk Register and comments have been updated to reflect the current position for each risk (see Appendix 1).
- 3.2 The Corporate risk profile is shown in the following heat map which shows the total number of risks in each segment. The red / amber / green zones are in accordance with the Council's risk appetite.

	CRR02 CRR03 CRR06 CRR34 CRR35a	CRR18 CRR19 CRR37		
		CRR01c CRR05 CRR17	CRR01b	

- 3.3 There are four risks which are currently considered to be high and eight medium risks. The high-risk areas relate to the following:

CRR37	Delay to the local plan preparation due to the requirement of the plan to demonstrate water neutrality, or as a result of significant / unexpected changes to government guidance.
CRR01b	Funding from Government is less generous than assumed in the Medium-Term Financial Strategy (MTFS) from 2023.
CRR18	A malicious attacker exploits a known or unknown security weakness to penetrate the Council's ICT systems. IT not working due to environmental and economic problems: fire, flood, power cut and issues with the supply chain preventing new infrastructure arriving in a timely manner.
CRR19	Rapidly rising costs from inflation together with ongoing lower levels of income from fees in some areas, and other cost pressures such as increased homelessness; and increased housing benefit claims.

- 3.4 The risk register in Appendix 1 provides full details of all risks on the "live" register together with details of the control actions and responsible officers.

- 3.5 All 19 Departmental risk registers have been reviewed and updated.

## **4 Outcome of consultations**

- 4.1 Officers who are responsible for control actions and the Senior Leadership Team have been consulted in updating the Corporate Risk Register.

## **5 Other courses of action considered but rejected**

- 5.1 Not applicable.

## **6 Resource consequences**

- 6.1 There are no financial or staffing consequences as this report is for noting.

## **7 Legal considerations and implications**

- 7.1 There are no legal consequences as this report is for noting.

## **8 Risk assessment**

- 8.1 The report provides an update on the Council's corporate risks and how these are being managed by the Senior Leadership Team. See Appendix 1 for the latest version of the Council's Corporate Risk Register.

## **9 Procurement implications**

- 9.1 There are no procurement implications arising from this report as this report is for noting.

## **10. Equalities and human rights implications / public sector equality duty**

10.1 There are no implications under equalities and human rights nor the public sector equality duty as this report is for noting.

## **11 Environmental implications**

11.1 There are no environmental implications as this report is for noting.

## **12 Other considerations**

12.1 There are no consequences arising from GDPR / Data Protection or crime and disorder as this report is for noting.